

Appendix table 5-48

Academic patenting and licensing activities: 1999–2009

Activity indicator	1999 (139)	2000 (142)	2001 (139)	2002 (156)	2003 (165)	2004 (164)	2005 (158)	2006 (161)	2007 (161)	2008 (159)	2009 (153)
	\$millions										
Net royalties ^a	583.0	1,012.0	753.9	868.9	866.8	924.8	1,588.1	1,322.2	1,898.8	2,127.2	1,474.6
Gross royalties ^a	675.5	1,108.9	868.3	997.8	1,033.6	1,088.4	1,775.0	1,511.6	2,098.8	2,397.2	1,782.1
Royalties paid to others	34.5	32.7	41.0	38.8	65.5	54.4	67.8	67.9	63.7	114.8	153.2
Unreimbursed legal fees expended	58.0	64.2	73.4	90.1	101.3	109.2	119.1	121.5	136.3	155.3	154.3
	Number										
Invention disclosures received	10,052	10,802	11,259	12,638	13,718	15,002	15,371	16,855	17,677	17,694	18,163
New U.S. patent applications filed	4,871	5,623	5,784	6,509	7,203	9,462	9,306	10,748	10,899	11,197	11,260
U.S. patents granted	3,079	3,272	3,179	3,109	3,450	3,268	2,944	2,895	3,291	2,933	3,088
Startup companies formed	275	368	402	364	348	425	418	500	510	549	555
Operational startups	1,478	1,798	1,904	2,070	2,090	2,451	2,652	2,960	3,148	3,076	3,175
Active licenses	15,203	17,465	18,845	20,927	22,091	23,269	23,896	26,070	26,094	26,816	28,763
Revenue-generating licenses/options	6,663	7,562	7,715	8,490	8,976	9,543	10,251	10,733	12,467	13,231	13,927
New licenses/options executed ^b	3,295	3,569	3,300	3,660	3,855	4,087	4,201	4,192	4,419	4,416	4,624
Equity licenses/options	181	296	328	373	316	318	278	357	377	382	354

^aOne-year spikes in royalty data reflect extraordinary one-time payments.^bData prior to 2004 may not be comparable with data for 2004 and beyond due to change in survey wording.

NOTES: Number of institutions reporting given in parentheses. Data from nonuniversity hospitals and medical institutes not included. Responding institutions may report for any 12-month period ending in the identified year.

SOURCE: Association of University Technology Managers (AUTM), AUTM Licensing Survey (various years).

Science and Engineering Indicators 2012